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Form PTO-1449

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 17105-018005 (25886-0055E) Application No. 10/802,284

List of Patents and Publications for Applicant's Information Disclosure Statement

Applicant Chen, James

Filing Date
March 16, 2004

Group Art Unit 3762

(37 CFR §1.98(b))

U.S. Patent Documents							
Examine Initial	r Desig.	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
· ROY	- A	2001/0022970	09/20/01	Dees et al.	424	178.1	03/06/01
	В	2001/0044623	11/22/01	Chen	606	2	07/20/01
	С	2001/0046983	11/29/01	Pandey et al.	514	185	12/18/00
	D	2001/0049502	12/06/01	Chen	604	167.06	11/25/98
	· E	2002/0010500	01/24/02	Chen	607	89	06/24/98
*	F	2002/0033192	03/21/02	Lindseu et al.	136	263	05/10/01
	G	2002/0049247	04/25/02	Chen	514	410	01/12/01
	Н	2002/0087205	07/04/02	Chen	607	88	07/13/01
	I	2002/0127224	09/12/02	Chen	424	130.1	03/04/02
	l	2002/0127230	09/12/02	Chen	424	178.1	07/13/01
*	K	2002/0128303	09/12/02	Bellnier et al.	514	410	03/07/01
	L	2002/0198576	12/26/02	Chen	607	88	12/26/02
	М	2003/0018371	01/23/03	Chen	607	88	07/13/01
*	N	2003/0030342	02/13/03	Chen et al.	310	102	12/13/01
	0	2003/0109813	06/12/03	Chen	60	2	12/10/02
	P	2003/0114434	06/19/03	Chen et al.	514	185	08/31/99
	. Q	2003/0167033	09/04/03	Chen et al.	604	20	01/23/03
	R	2003/0208249	11/06/03	Chen	607	88	04/08/03
	s	2004/0044197	03/4/04	Pandey et al.	540	140	06/27/03
	Т	2004/0044198	03/04/04	Pandey et al.	540	145	06/02/03
*	υ	RE 37,180	05/15/01	Mori et al.	514	410	04/29/99
	v	2,797,213	06/25/57	Moore	260	141	08/20/54
	w	3,046,118	07/24/62	Schmidt	96	33	02/14/58
	x	3,046,120	07/24/62	Schmidt	96	33 .	11/30/54
	Y	4,337,759	07/06/82	Popovich et al.	126	438	02/27/81
*	Z	4,521,762	06/04/85	Kapral	340	347	12/20/82
•	AA	4,577,636	03/25/86	Spears	128	654	01/27/84
4	AB	4,675,338	06/23/87	Bommer et al.	514	410	07/18/84

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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Substitute Form PTO-1449 U.S. Department of Commerce (Modified) Patent and Trademark Office			≈ 17105-018005		Application No. 10/802,28			
			15.18		(25886-0055E)		<u> </u>	· <del> </del>
List			d Publications n Disclosure St		Applicant Chen, James			
(37 CFR	§1.98(I	b))			Filing Date March 16, 2004		Group Art Uni 3762	t
				U.S. Pater	nt Documents			
Examir Initia	al l	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
RW	4	AC	4,693,556	09/15/87	McCaughan, Jr.	350	320	06/04/85
1		AD	4,693,885	09/15/87	Bommer et al.	424	2	04/30/85
		ΑE	4,753,958	06/28/88	Weinstein et al.	514	410	06/25/86
		AF	4,823,244	04/18/89	Alaybayoglu <i>et al</i> .	362	194	01/29/88
		AG	4,849,207	07/18/89	Sakata et al.	424	1.1	10/23/86
•		AH	4,861,876	08/29/89	Kessel	540	145	11/26/86
•		AI	4,866,168	09/12/89	Dougherty et al.	540	145	07/24/86
*		AJ	4,878,891	11/07/89	Judy et al.	604	5	06/25/87
		AK	4,932,934	06/12/90	Dougherty et al.	604	21	08/24/88
•		AL	4,957,481	09/18/90	Gatenby	604	20	07/03/89
*		AM	4,997,639	03/05/91	Aizawa et al.	424	9	11/27/89
		AN	4,998,930	03/12/91	Lundahl	606	15	08/04/89
		AO	5,002,962	03/26/91	Pandey et al.	514	410	07/20/88
		AP	5,026,367	06/25/91	Leckrone et al.	606	7	03/18/88
*		AQ	5,028,594	07/02/91	Carson	514	23	12/27/88
*		AR	5,041,078	08/20/91	Matthes et al.	604	4	12/21/89
*		AS	5,053,006	10/01/91	Watson	604	52	04/02/90
		AT	5,055,446	10/08/91	Alexander et al.	514	2	10/21/88
		AU	5,171,749	12/15/92	Levy et al.	514	410	06/20/91
		AV	5,190,536	03/02/93	Wood et al.	606	16	02/19/92
		AW	5,263,925	11/23/93	Gilmore et al.	604	4	07/22/91
		AX	5,283,255	02/01/94	Levy et al.	514	410	09/11/92
		AY	5,314,905	05/24/94	Pandey et al.	514	410	11/09/92
•		ΑZ	5,330,741	07/19/94	Smith et al.	424	9	02/24/92
		ВА	5,344,434	09/06/94	Talmore	607	88	12/21/92
*		вв	5,368,841	11/29/94	Trauner et al.	424	9	02/11/93
		вс	5,399,583	03/21/95	Levy et al.	514	410	01/25/94
		BD	5,404,869	04/11/95	Parkyn, Jr. et al.	126	699	04/16/92
• 4	/	BE	5,430,051	07/04/95	Aizawa et al.	514	410	04/19/94
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Substitute Form PTO-1449 (Modified)  U.S. Department of Commerce Patent and Trademark Office			× 17105-018005		Application No 10/802,284			
Lie	t of D	stanta an	d Publications	for Annlicant's	(25886-0055E) Applicant	<del></del>		
			n Disclosure St		Chen, James		· · · · · · · · · · · · · · · · · · ·	
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(37 CF	R §1.98	(6))		U.S. Pater	nt Documents		3702	
Exan		Desig.	Document	Publication				Filing Date
Init		ID BF	Number	Date 08/15/95	Patentee Zarate et al.	Class 607	Subclass 90	If Appropriate 10/18/93
K	Wy .		5,441,531					
		BG	5,445,608	08/29/95	Chen et al.	604	20	08/16/93
	ļ	BH	5,456,661	10/10/95	Narciso, Jr.	604	20	03/31/94
		BI	5,474,528	12/12/95	Meserol	604	20	03/21/94
		ВЈ	5,474,765	12/12/95	Thorpe	424	178.17	03/23/92
		BK	5,482,698	01/09/96	Griffiths	424	1.41	04/22/93
		BL	5,484,778	01/16/96	Kenney et al.	514	63	09/02/93
		ВМ	5,484,803	01/16/96	Richter	514	410	02/02/95
		BN	5,494,793	02/27/96	Schindele et al.	435	6	06/14/89
•		ВО	5,498,710	03/12/96	Pandey et al.	540	145	04/22/94
*		BP	5,500,009	03/19/96	Mendes et al.	607	88	09/27/93
		BQ	5,514,669	05/07/96	Selman	514	63	09/29/93
		BR	5,519,534	05/21/96	Smith et al.	359	599	05/25/94
*		BS	5,534,506	07/09/96	Morgan et al.	514	185	06/20/94
		вт	5,543,514	08/06/96	Sessler et al.	540	472	04/06/95
•	,	BU	5,549,660	08/27/96	Mendes et al.	607	088	11/12/92
•		BV	5,556,612	09/17/96	Anderson et al.	424	59	03/15/94
		BW	5,565,552	10/15/96	Magda et al.	534	11	06/07/95
*		BX	5,567,409	10/22/96	Aizawa et al.	424	9.363	12/12/94
		BY	5,571,152	11/05/96	Chen et al.	607	92	05/26/95
		BZ	5,576,013	11/19/96	Williams et al.	424	423	03/21/95
		CA	5,577,492	11/26/96	Parkyn, Jr. et al.	126	698	04/07/95
		СВ	5,577,493	11/26/96	Parkyn, Jr. et al.	126	699	08/22/94
•		СС	5,591,847	01/07/97	Pandey et al.	540	472	05/23/94
	-	CD	5,591,855	01/07/97	Hudkins et al.	546	256	04/24/95
•		CE	5,594,136	01/14/97	Sessler et al.	540	472	05/03/95
		CF	5,613,769	03/25/97	Parkyn, Jr. et al.	362	338	06/05/95
	/	CG	5,616,140	04/01/97	Prescott	606	10	03/21/94
	Z		3,010,140	ולונטודט	1 1030011	000		V3121134

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		-		nt Documents			
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
Rug	СН	5,630,996	05/20/97	Reno et al.	424	1.49	09/16/93
	CI	5,634,711	06/03/97	Kennedy et al.	362	119	09/13/94
	CJ	5,643,334	07/01/97	Eckhouse et al.	607	88	02/07/95
	СК	5,645,562	07/08/97	Hann et al.	606	194	03/11/96
	CL	5,655,832	08/12/97	Pelka et al.	362	296	05/22/95
	СМ	5,676,453	10/14/97	Parkyn, Jr. et al.	362	260	05/22/95
	CN	5,686,113	11/11/97	Speaker et al.	424	490	03/21/95
	со	5,698,866	12/16/97	Doiron et al.	257	99	05/28/96
	СР	5,700,243	12/23/97	Nariso, Jr.	604	102	05/24/96
	CQ	5,702,432	12/30/97	Chen et al.	607	88	10/03/96
	CR	5,703,896	12/30/97	Pankove et al.	372	50	03/05/96
	ĊS	5,705,518	01/06/98	Richter et al.	514	410	02/16/95
	СТ	5,707,401	01/13/98	Talmore	607	88	02/24/95
	CU	5,709,653	01/20/98	Leone	604	20	07/25/96
	CV	5,715,837	02/10/98	Chen	128	899	08/29/96
	CW	5,735,817	04/07/98	Shantha	604	100	05/19/95
	сх	5,736,563	04/07/98	Richter	514	410	11/08/95
	CY	5,741,316	04/21/98	Chen et al.	607	61	12/02/96
	CZ	5,746,494	05/05/98	Koeda et al.	362	32	11/14/95
	DA	5,746,495	05/05/98	Klamm	362	32	02/05/97
	DB	5,757,557	05/26/98	Medvedev et al.	359	' 708	06/09/97
	DC	5,766,222	06/16/98	Petit	606	234	07/07/97
	DD	5,766,234	06/16/98	Chen et al.	607	92	04/16/96
	DE	5,769,844	06/23/98	Ghaffari	606	16	06/07/95
•	DF .	5,770,619	07/23/98	Richter et al.	514	410	11/01/95
	DG	5,770,730	06/23/98	Pandey et al.	540	472	03/08/96
	DH	5,775,339	07/07/98	Woodburn et al.	128	898	08/19/97
	DI	5,776,175	07/07/98	Eckhouse et al.	607	100	09/29/95
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Substitute Form PTO-1449  (Modified)  U.S. Department of Commerce Patent and Trademark Office						Application No 10/802,284		
List	t of Pa	atents and	d Publications	for Applicant's	Applicant			• · · · · · · · · · · · · · · · · · · ·
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		(=//		U.S. Pater	nt Documents			
Exam Initi		Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
RA	y	DJ	5,776,427	07/07/98	Thorpe et al.	424	1.49	06/01/95
		DK	5,782,896	07/21/98	Chen et al.	607	88	01/29/97
		DL	5,797,868	08/25/98	Leone	604	21	07/25/96
		DM	5,798,349	08/25/98	Levy et al.	514	185	02/17/95
		DN	5,800,478	09/01/98	Chen et al.	607	88	03/07/96
		DO	5,803,575	09/08/98	Ansems et al.	362	32	03/15/96
		DP	5,806,955	09/15/98	Parkyn, Jr. et al.	362	31	06/07/95
		DQ	5,807,881	09/15/98	Leong et al.	514	410	09/22/94
		DR	5,811,248	09/22/98	Ditlow et al.	435	7.9	06/07/95
		DS	5,814,008	09/29/98	Chen et al.	604	21	07/29/96
		DT	5,817,048	10/06/98	Lawandy	604	20	03/20/97
*		DU	5,824,080	10/20/98	Lamuraglia	623	11	09/04/96
		DV	5,824,657	10/20/98	Hill et al.	514	46	03/18/97
		DW	5,827,186	10/27/98	Chen et al.	600	407	04/11/97
		DX	5,829,448	11/03/98	Fisher et al.	128	898	10/30/96
*		DY	5,832,931	11/10/98	Wachter et al.	128	898	10/30/96
		DZ	5,835,648	11/10/98	Narciso, Jr. et al.	385	31	03/07/97
		EA	5,843,143	12/01/98	Whitehurst	607	88	08/24/94
		EB	5,849,027	12/15/98	Gart et al.	607	93	09/04/96
		EC	5,851,225	12/22/98	Lawandy	607	88	11/13/96
		ED	5,855,866	01/05/99	Thorpe et al.	424	1.49	03/02/94
		EE	5,863,538	01/26/99	Thorpe et al.	424	136.1	06/01/95
		EF	5,864,035	01/26/99	Pandey et al.	540	472	03/06/97
		EG	5,865,840	02/02/99	Chen	607	92	10/22/97
		EH	5,876,427	03/02/99	Chen et al.	607	88	01/29/97
3		EI	5,881,200	03/09/99	Burt	385	142	03/20/97
		EJ	5,882,328	03/16/99	Levy et al.	604	20	12/02/96
,	1	EK	5,882,779	03/16/99	Lawandy	428	323	02/06/97

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List			d Publications in Disclosure St		Applicant Chen, James			
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				U.S. Pate	nt Documents			
Examir Initia	1 .	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
RD	12	EL	5,906,579	05/25/99	Vander Salm et al.	600	424	08/14/97
		EM	5,909,670	06/01/99	Trader et al.	705	14	01/09/96
		EN	5,912,257	06/15/99	Prasad et al.	514	356	09/05/96
		EO	5,913,834	06/22/99	Français	600	591	06/09/98
		EP	5,913,884	06/22/99	Trauner et al	607	88	10/31/96
		EQ	5,919,217	07/06/99	Hughes	607	90	04/15/93
		ER	5,921,244	07/13/99	Chen et al.	128	897	06/11/97
		ES	5,924,788	07/20/99	Parkyn, Jr.	362	329	09/23/97
		ET	5,926,320	07/20/99	Parkyn, Jr. et al.	359	641	05/29/97
		EU	5,929,105	07/27/99	Sternberg et al.	514	410	06/01/98
		EV	5,942,534	08/24/99	Trauner et al.	514	410	10/10/97
		EW	5,943,354	08/24/99	Lawandy	372	39	09/16/97
		EX	5,945,762	08/31/99	Chen et al.	310	171	02/10/98
		EY	5,952,329	09/14/99	Cincotta et al.	514	224.5	01/23/97
		EZ	5,957,960	09/28/99	Chen et al.	607	92	05/05/97
		FA	5,961,543	10/05/99	Waldmann	607	88	11/01/96
		FB	5,976,175	11/02/99	Hirano et al.	607	89	06/18/96
*		FC	5,976,535	11/02/99	Fritzberg et al.	424	182.1	06/06/95
		FD	5,985,353	11/16/99	Lawton et al.	427	2.13	07/30/97
		FE	5,989,245	11/23/99	Prescott	606	14	03/31/97
		FF	5,990,479	11/23/99	Weiss et al.	250	307	11/25/97
		FG	5,997,569	12/07/99	Chen et al.	607	88	01/29/97
		FH	5,997,842	12/07/99	Chen	424	1.29	04/13/98
*		FI	5,998,597	12/07/99	Fisher et al.	536	23.1	12/11/97
		FJ	6,013,053	01/11/00	Bower et al.	604	96	05/17/96
		FK	6,015,897	01/18/00	Theodore et al.	540	474	05/13/96
		FL	6,021,347	02/01/00	Herbst et al.	607	2	12/04/97
. 1	j	FM	6,032,070	02/29/00	Flock et al.	600	473	06/07/95

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Substitute Form PTO-1449 U.S. Department of Commerce Attorney's Docket No. Application No. Patent and Trademark Office (Modified) 17105-018005 10/802,284 (25886-0055E) Applicant List of Patents and Publications for Applicant's Chen, James Information Disclosure Statement Filing Date Group Art Unit March 16, 2004 3762 (37 CFR §1.98(b)) **U.S. Patent Documents** Filing Date Examiner Desig. Document Publication Initial ID Number Date Patentee Class Subclass If Appropriate 03/14/00 Bottiroli et al. 05/11/98 FN 6,036,941 424 9.6 FO 04/11/00 Biel 607 92 08/25/98 6,048,359 FP 04/18/00 Thorpe et al. 424 178.1 06/01/95 6,051,230 FQ 05/09/00 Doiron et al. 128 898 07/18/97 6,058,937 FR 06/06/00 Rodgers et al. 514 408 11/12/97 6,071,944 FS 6,080,160 06/27/00 Chen et al. 606 72 12/04/96 FT 07/04/00 Licha et al. 11/07/97 6,083,485 424 9.6 07/18/00 Lurie 514 23 06/30/99 FU 6,090,788 FV 6,092,531 07/25/00 Chen et al. 128 899 06/03/99 FW 607 09/11/98 08/01/00 Chen et al. 88 6,096,066 FX 08/08/00 Levy et al. 514 410 09/14/98 6,100,290 514 410 05/18/98 FY 6,107,325 08/22/00 Chan et al. 08/22/00 Hasan et al. 530 351 09/24/98 FZ 6,107,466 11/09/98 GA 6,117,862 09/12/00 Margaron et al. 514 185 GB 6,123,923 09/26/00 Unger et al. 424 9.52 12/18/97 GC 6,135,620 10/24/00 Marsh 362 377 04/10/96 10/31/00 **GD** 128 899 6,138,681 10/13/97 Chen et al. 11/28/00 Hashimoto et al. 607 92 02/17/98 GE 6,152,951 607 88 01/21/99 6,162,242 12/19/00 Peyman **GF** 07/09/98 GG 12/22/00 Esenaliev 424 1.11 6,165,440 GH 02/06/01 Glines et al. 604 187 05/16/98 6,183,444 11/05/98 02/13/01 Gart et al. 607 93 6,187,030 GI 04/03/01 GJ 607 88 07/08/99 6,210,425 Chen GK 04/17/01 Meyer et al. 424 178.1 09/05/97 6,217,869 05/29/01 GL 6,238,426 Chen 607 88 07/19/99 GM 08/14/01 607 88 03/02/99 Chen et al. 6,273,904 04/11/00 GN 08/28/01 Chen et al. 310 171 6,281,611 GO 10/02/01 Clark et al. 514 177 06/18/98 6,297,228

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				U.S. Pater	nt Documents			
Exam ابزوا		Desig. ID	Document Number	Publication Date,	Patentee	Class	Subclass	Filing Date If Appropriate
86	10_	GP	6,319,273	11/20/01	Chen et al.	607	88	12/16/99
		GQ	6,331,744	12/18/01	Chen et al.	310	171	04/11/00
		GR	6,344,050	02/05/02	Chen	607	88	12/21/98
		GS	6,416,531	07/09/02	Chen	607	89	06/24/98
		GT	6,454,789	09/24/02	Chen	607	88	01/15/99
		GU	6,520,669	02/18/03	Chen et al.	362	545	06/19/00
		GV	6,534,040	03/18/03	Pandey et al.	424	9.362	12/18/00
		GW	6,554,853	04/29/03	Chen	607	88	07/20/01
		GX	6,580,228	06/17/03	Chen et al.	315	185R	08/22/00
		GY	6,602,274	08/05/03	Chen	607	88	03/18/99
*		GZ	6,624,187	09/23/03	Pandey et al.	514	410	06/12/00
•	1	НА	6,657,351	12/02/03	Chen et al.	310	171	07/20/01

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	HC	00/27365	05/18/00	PCT				
	HD	00/29617	05/25/00	PCT				
	HE	00/36983	06/29/00	PCT				
	HF	00/41725	07/20/00	PCT	\			
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4	HN	01/43825	06/21/01	PCT	1			

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Attorney's Docket No. Application No. Substitute Form PTO-1449 U.S. Department of Commerce (Modified) Patent and Trademark Office 17105-018005 10/802,284 (25886-0055E) Applicant List of Patents and Publications for Applicant's Chen, James **Information Disclosure Statement** Filing Date Group Art Unit March 16, 2004 3762 (37 CFR §1.98(b)) Foreign Patent Documents or Published Foreign Patent Applications Examiner Desig. Document Publication Country or **Translation** Patent Office Yes Initial ID Number Date Class Subclass No HO 01/51087 07/19/01 **PCT** HP 01/74398 10/11/01 PCT A1 HQ 01/75617 10/30/91 EP HR 01/78216 10/18/01 **PCT** HS 01/78458 10/18/01 **PCT** HT 01/98708 12/27/01 **PCT** HU 02/098882 12/12/02 PCT A1 HV 02/17690 02/28/02 **PCT** 06/19/03 PCT A2 HW 03/050082 HX 03/052793 06/26/03 **PCT** HY 03/061696 07/31/03 **PCT** HZ 01/09/91 EP 0 407 122 IA 06/29/94 0674251 AU ΙB 0694868 03/18/96 ΑU IC 0708410 02/14/97 ΑU ID 09/26/97 0713227 AU ΙE ΑU 0720815 06/26/87 IF 0721857 04/28/99 ΑU 10/17/01 EP IG 1 146 046 A2 \* 2004/002476 A2 01/08/04 **PCT** IH 01/15/04 **PCT** П 2004/005289 A2 IJ 09/23/98 UK 2323284 Yes ΙK 07/26/78 JР 53084998 Yes IL 57185220 11/15/92 Л IM 01/09/92 **PCT** 92/00106 IN 93/00005 01/07/93 **PCT** IO 93/11657 06/24/93 **PCT** IP 93/13769 07/22/93 **PCT** 

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			(25886-0055E)						
List	List of Patents and Publications for Applicant's Information Disclosure Statement Chen, James								
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		IU	97/31582	09/04/97	PCT				
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		IX	97/40679	11/06/97	PCT				
		ΙΥ	97/46262	12/11/97	PCT				
		IZ	98/06456	02/19/98	PCT				
		JA	98/08565	03/05/98	PCT				
		Љ	98/14243	04/09/98	PCT				
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		л	98/33251	07/30/98	PCT				
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		ЛL	98/50034	11/12/98	PCT				
		JM	98/50387	11/12/98	PCT				
		JN	98/52610	11/26/98	PCT	1			
		ìO	98/56302	12/17/98	PCT	1			
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		JQ	99/18879	04/22/99	PCT	;			
5	7	JR	99/20346	04/29/99	PCT				

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List of Patents and Publications for Applicant's Information Disclosure Statement						Applicant Chen, James				
(37 CFR §1.98(b))					Filing Date March 16, 2004		Group Art Unit 3762			
Foreign Patent Documents or Publ						ished Foreign P	atent A	pplication	<u></u>	
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		JW	99/67248	12/29/99	PC	т				
4		JX	99/67249	12/29/99	PC	Т				

	Other D	ocuments (include Author, Title, Date, and Place of Publication)
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ROB	JY	Adilia et al., "Local delivery of photosensitizing drugs in arteries: a novel approach to photodynamic therapy for the prevention of intimal hyperplasia", Proc. SPIE-INT. Soc. Opt. Eng., 2395:402-8 (1995) (Ger. Symp. Laser Angioplasty, 2nd, 1980)
•	JZ	Anderson et al. "Photodynamic therapy for sarcoma pulmonary metastases: a preclinical toxicity study," Anticancer Res. 23:3713-3718 (2003).
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	КВ	Anonymous (May 1998) "The 1998 Photonics Circle of Excellence Award Winners", Photonics Spectra, pp. 95-96
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	KG	Birchler et al., "Selective Targeting and Photocoagulation of Ocular Angiogenesis Mediated by a Phage-Derived Human Antibody Fragment", Nature Biotechnol., 17:984-988 (1999)
	КН	Blaauwgeers et al., "Polarized Vascular Endothelial Growth Factor Secretion by Human Retinal Pigment Epithelium and Localization of Vascular Endothelial Growth Factor Receptors on the Inner Choriocapillaris", American Journal of Pathology, 155(2): 421-428 (1999)
	KI	Boulton et al., "VEGF localization in diabetic retinopathy", Br J Ophthalmol, 82:561-568 (1998)
4	KJ	Brower, V., "Tumor AngiogenesisNew Drugs on the Block", Nature Biotechnol., 17:963-968 (1999)

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Lis			d Publications for Applicant's n Disclosure Statement	Applicant Chen, James				
(37 CF	R §1.98	(b))		Filing Date March 16, 2004	Group Art Unit 3762			
		Other Do	ocuments (include Author,	Title, Date, and Place o	f Publication)			
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RE	<i>yy</i>	KK	Casalini et al., "Tumor Pretargeting: I Internalization", J.Nuclear Med., 38(S		Monoclonal Antibody			
		KL	Cattel et al., "The Role of Conjugation Molecular/Particulate Conjugates - a l					
		КМ	Certified English Translation of Japan Drug Having Chlorophyll Derivative		No. 57-185220, "Anti-Cancer			
		KN	Certified English Translation of Japan [Unexamined Patent] No. 53-84998),		51-159879 (Japanese Kokai			
*		ко	Certified English Translation of: Fischer, H. et al., "[On the Bromination of the Esters of Mesoisochlorin e4 and Mesochlorin e6]," Berischte der Deutschen Chemischen 75:1778-1795 (1942)					
1		KP	Chen et al., "New Technology for Deep Light distribution in Tissue for Phototherapy", The Cancer J., 8(2):154-163 (2002)					
*		KQ	Chen et al., "New directions in photodynamic therapy," ICCP-2. 2nd International Conference on Porphyrins and Phthalocyanines, 2002 30 June - 5 July; Kyoto, Japan: 78 [abstract S-26]					
:		KR	Chen, J., "Next Generation Light Delivery System for Multi-Treatment Extended Duration Photodynamic Therapy (MED-PDT)", SPIE-Proceedings Series, 2972:161-167 (1997)					
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		кт	Dartsch, et al., "Photodynamic therapy of vascular stenoses? Response of cultured human smooth muscle cells from non-atherosclerotic arteries and atheromatous plaques following treatment with photosensitizing porphyrins", Proc. SPIE-INT. Soc. Opt. Eng., 1462:77-80 (1990)					
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*		KV	Derwent English Abstract, Accession No. 1996-475153, citing Russian Patent RU 2054944 C, published February 27, 1996, "Production of purpurin-18 for treatment of tumours – comprises extracting vegetable waste with ethanol, oxidative splitting, degreasing and purifying".					
		KW	Dictionary of Cell Biology, Second E	dition (Lackie & Dow, eds., 198	9), page 17.			
		кх	Dillon et al., "In Vitro and In Vivo Pro Therapy by Radioprotective Agents V 48(2):235-238 (1988)					
		KY	Dimitroff et al., "Anti-angiogenic acti and PD173074: Implications for comb New Drugs, 17:121-135 (1999)					
7	1	KZ	Dougherty et al., Review "Photodyna	mic Therapy", J. Natl. Cancer In	nst., <u>90(12)</u> :889-905 (1998)			

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Substitute Form PTO-1449 (Modified)		n PTQ-1449	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17105-018005	Application No. 10/802,284			
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List			d Publications for Applicant's n Disclosure Statement	Applicant Chen, James				
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(37 CFR			ocuments (include Author,					
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RD	B	LA	Dougherty et al., Yearly Review "Pho (1993)	otodynamic Therapy", Photocher	m. Photobiol., <u>58(6)</u> :895-900			
	`	LB	Dougherty, T.J., "A Brief History of Cancer Institute", J. Clin. Laser Med.		Development at Roswell Park			
		LC	Dougherty, T.J., Photosensitization of (1986).	F malignant tumors, Seminars in	Surgical Oncology 2:24-37			
		LD	Edamatsu et al., "One and Two-Photo Review, 17:15-16 (1998)	on Selective Excitation Spectroso	copy of CuC1 Quantum Dots",			
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		LF	Ferrario et al., "Antiangiogenic Treatment Enhances Photodynamic Therapy Responsiveness in a Mouse Mammary Carcinoma", Cancer Research, 60:4066-4069 (2000)					
*		LG	Fischer, H. et al., "[On the Bromination of the Esters of Mesoisochlorin e <sub>4</sub> and Mesochlorin e <sub>6</sub> ],"  Berischte der Deutschen Chemischen 75:1778-1795 (1942)					
		LH	Fisher, W.G. et al. "Simultaneous Two-Photon Activation of Type-1 Photodynamic Therapy Agents," Photochem. Photobiol., 66(2):141-155 (1997)					
		LI	Freiherr, G., "Advances in Photodynamic Therapy Lure Device Innovators", Medical Device & Diagnostic Industry, http://feedback@devicelink.com, 4 pages					
		IJ	Gagel, M. P., Photodynamic therapy with porphyrins (1997), available at http://www.dermatology.org/laser/pdt.html.					
		LK		Gilson et al., "Therapeutic ratio of photodynamic therapy in the treatment of superficial tumours of skin and subcutaneous tissues in man", J. Cancer, 58:665-667 (1988)				
		LL	Produces Protein Tyrosine Phosphory	Granville et al., "Photodynamic Treatment with Benzoporphyrin Derivative Monoacid Ring A Produces Protein Tyrosine Phosphorylation Events and DNA Fragmentation in Murine P815 Cells", Photochem. Photobiol., 67(3):358-362 (1998)				
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		LN	Haas et al., "Phototherapy of Bladder 136:525-528 (1986)	Cancer: Dose/Effect Relationsh	ips," Journal of Urology,			
•		LO	Haslam et al., "Recent Developments Carboxyl Group," Tetrahedron 36: 24		n and Protection of the			
		LP	Henderson et al., An in vivo quantitat pyropheophorbide derivatives as phot 4000-4007 (1997).					
		LQ	Jacka et al., "A Lamp for Cancer Pho	totherapy", Aust. J. Phys., <u>36</u> :22	1-226 (1983)			
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Substitute (Modified)	Form PTO-14	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17105-018005 (25886-0055E)	Application No. 10/802,284		
List of Patents and Publications for Applicant's Information Disclosure Statement			Applicant Chen, James			
(37 CFR §	1.98(b))		Filing Date March 16, 2004	Group Art Unit 3762		
		Documents (include Author,	Title, Date, and Place o	f Publication)		
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ROZ	f LS	Jiang et al., "Selective Depletion of a Photosensitizer", Clin. Immunol., 91(2)	2):178-87 (1999)			
*	LT	Jones et al. "Photodynamic therapy for lung," Clin Lung Cancer. 3(1):37-41		nall-cell carcinoma of the		
	LU	Kashtan et al., "Photodynamic Therap Vitro Studies to a Patient Treatment",				
	LV	Kessel et al., Photosensitization with (1993).	Kessel et al., Photosensitization with bacteriochlorins, Photochem. Photobiol. 58(2): 200-203			
	LW		Kozyrev et al., Effect of substituents in OsO <sub>4</sub> reactions of metallochlorins regioselective synthesis of isobacteriochlorins and bacteriochlorins, <i>Tetrahedron Letters</i> 37(22): 3781-3784 (1996).			
	LX	Kreimer-Birnbaum, M., "Modified Porphyrins, Chlorins, Phthalocyanines, and Purpurins: Second-Generation Photosensitizers for Photodynamic Therapy", Seminars in Hematology 26(2):157-173 (1989)				
	LY	Latham et al., "Biophotonics Applica available at: http://www.arflhorizons. (02/06/03).				
+	LZ	Li et al., "Application of Ruppert's rea and bacteriochlorins", J. Chem. Soc. I				
*	МА	Li et al., "Synthesis, comparative pho ability, and intracellular localization of dihydroxybacteriochlorins,". J Med (	tosensitizing efficacy, human se characteristics of novel benzobac	rum albumin (site II) binding		
	МВ	Lin et al., "Skin Necrosis due to Photodynamic Action of Benzoporphyrin Depends on Circulating Rather than Tissue Drug Levels: Implications for Control of Photodynamic Therapy", Photochem. Photobiol., 68(4):575-583 (1998)				
*	МС	Lustig et al., "A multicenter Phase I sodium in patients with refractory sol	safety study of intratumoral photid tumors," Cancer 98(8):1767-	toactivation of talaporfin 71 (2003)		
	MD	Marcus, S.L., "Photodynamic Therap (1992)	y of Human Cancer", Proceeding	gs of the IEEE, <u>80(6)</u> :869-889		
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	MG	Meerovich et al., "Photosensitizer for SPIE-INT. Scc. Opt. Eng., 2924:86-90		halocyanine derivative", Proc.		
A	МН	Merck Manual of Diagnosis and Ther and 1654-1657.	rapy, 17th edition (Beers & Berk	ow, eds., 1999), pages 816-817		

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			ocuments (include Author, 1	Title, Date, and Place o	f Publication)	
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R	Jy	МІ	Mew et al., "Ability of Specific Mono Hematoporphyrin to Label and Kill So Res., 45:4380-4386 (1985)			
		МЈ	Mew et al., "Photoimmunotherapy: The Antibody-Hematoporphyrin Conjugat			
_		MK	Middleton et al., "Synthetic Biodegrad Biomaterials, pp. 30-39 (1998)	dable Polymers as Medical Devi	ces", Medical Plastics &	
		ML	Millson et al., "Ex-Vivo Treatment of of Photochemistry and Photobiology		by Photodynamic Therapy", J.	
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		MN	Nemoto et al., "Inhibition by a new bisphosphonate (YM175) of bone resorption induced by the MBT-2 tumour of mice", Brit. J. Cancer, 67(5):893-897 (1993)			
		МО	North et al., "Viral Inactivation in Blood and Red Cell Concentrates with Benzoporphyrin Derivative", Blood Cells 18:129-140 (1992)			
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		MR	Parrish, J.A., "Photobiologic Consideration Photoradiation Therapy", <i>Porphyrin Photosensitization</i> , pp. 91-108 (1983)			
•		MS	Patent Abstract of Japan citing Japane "Porphyrin Derivative and Use There		552, published May 13, 1997,	
		MT	Pittau et al., "An Inexpensive Light S Biol., pp. 105-106 (1998)	Pittau et al., "An Inexpensive Light Source for Oncologic Photodynamic Therapy", IEEE Eng. Med.		
		MU	Prewett et al., "Antivascular Endothelial Growth Factor Receptor (Fetal Liver Kinase 1) Monoclonal Antibody Inhibits Tumor Angiogenesis and Growth of Several Mouse and Human Tumors", Cancer Research, 59:5209-5218 (1999)			
		MV	Renno et al., "Photodynamic Therapy Potentiated by Angiostatin in Retinal Visual Sci., 41(12):3963-3971 (2000)	Capillary Endothelial Cells", Inv		
		MW	Rimington et al., Preparation and pho Res. Comms. 7(3-6): 139-142 (1989).	1411		
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			ocuments (include Author,	litle, Date, and Place o	f Publication)	
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RL	)g	MY	Ruebner, A. et al. "Carrier Systems in Photosensitizers Developed On Cultur			
	•	MZ	Rungta et al., Purpurinimides as photosubstituents in the in vivo photodynam (2000).			
		NA	Savellano et al., "Pegylated BPD Ver Photosensitizer Immunoconjugates",			
		NB	Savitsky, A.P. et al. "Avidin-Biotin System for Targeting Delivery of Photosensitizers and Other Cytotoxic Agents Into Malignant Tissues," SPIE, 3191: 243-53 (1997)			
		NC	Schmidt et al., "Size-dependent Two-Photon Excitation pectroscopy of CdSe Nanocrystals", Physical Review B, 53(19):12629-12632 (1996)			
		ND	Schmidt-Erfurth et al., "In Vivo Uptake of Liposomal Benzoporphyrin Derivative and Photothrombosis in Experimental Corneal Neovascularization", Lasers in Surgery and Medicine, 17:178-188 (1995)			
	-	NE	Schmidt-Erfurth et al., "Photodynamic Therapy of Experimental Choroidal Melanoma Using Lipoprotein-delivered Benzoporphyrin", Opthalmol., 101:89-99 (1994)			
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		NH	Sharman et al., "Novel water-soluble benzo rings", Tetrahedron Lett., 37(3		n phosphonate moieties on the	
		NI	Sigsestad, C.P. et al. "Chemical Mod. Therapy," Brit. J. Cancer 74(Suppl.3"	<u>7)</u> :S89-92 (1996)		
•		NJ	Smith et al, "Meso substitution of chl bacteriopheophorbides d into bacterio			
•		NK	Smith et al., "Bacteriochlorophylls c Studies of the Pheophorbides and De			
		NL	Spikes, J.D., Porphyrins and related c York Academy of Sciences 244: 496-5		sitizers, Annals of the New	
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	7	NO	Su, F., Photodynamic Therapy; A Ma available at http://www.spie.org/web/			

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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609, Draw fine through citation if not in					

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Substitute (Modified)	Substitute Form PTO-1449  (Modified)  U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 17105-018005	Application No. 10/802,284			
			(25886-0055E)				
List of Patents and Publications for Applicant's Information Disclosure Statement			Applicant Chen, James				
(37 CFR §	1.98(b))		Filing Date March 16, 2004	Group Art Unit 3762			
(91 91 11 9		ocuments (include Author, 1		f Publication)			
Examine Initial		,	Document				
ROG	NP	Szeimies et al., "A New Light Source http://www.lumacare.com/paper3.htm					
	NQ	Szeimies et al., "A Possible New Inco Lesions", Acta Derm Venereol, 74:11		Treatment of Superficial Skin			
	NR	Taber's Cyclopedic Medical Dictionar	ry, 14th edition (C. L. Thomas, e	ed., 1983), page 63.			
	NS	Tomio et al., "Effect of Hematoporph Radiologica Oncol., 22:49-53 (1983)	yrin and Red Light on AH-130 S	Solid Tumors in Rats", ACTA			
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*	NY	Wood et al., "A beam-splitting device for use with fiber-coupled laser light sources for photodynamic therapy," <i>Photochem Photobiol.</i> 76(6):683-5 (2002)					
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4	OE	Yumita et al., "Sonodynamically Indu focused Ultrasound on Experimental I					
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Substitute For (Modified)	m PTO-1449	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17105-018005 (25886-0055E)	Application No. 10/802,284	
List of Patents and Publications for Applicant's Information Disclosure Statement			Applicant Chen, James		
(37 CFR §1.98	3(b))		Filing Date March 16, 2004	Group Art Unit 3762	
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RDY	OF Yumita et al., "The Combination Treatment of Ultrasound and Antitumor Drugs on Yoshida Sarcoma", Japan J. Hyperthermic Oncol., 3(2):175-182 (1987)				
•	OG	Zheng et al., "Photosensitizers Related to Purpurin-18-N-alkylimides: A Comparative in vivo Tumoricidal Ability of Ester versus Amide Functionalities", <i>Bioorganic &amp; Med. Chem. Letts.</i> , 10:123-127 (2000)			
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Cory J. Sulson

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Substitute Ferm PTO-1449  (Modified)  U.S. Department of Commerce Patent and Trademark Office			Attorney's Docket No. Application 17105-018005/0055E 10/802,2						
List of Pa	atents an	d Publications t	for Applicant's	Applicant Chen, James	•••				
	ıformatioı	n Disclosure St		Filing Date March 16, 2004		Group Art Unit 3762			
			nt Documents						
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate		
RUS	AA	2004/0215292	10/28/04	Chen	607	88	03/16/04		
	AB	2004/0229830	11/18/04	Tachibana et al.	514	44	02/19/04		
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Foreign	Patent Doc	uments or Pu	blished Foreign	Patent A	Application	าร	
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